

## POLITICS, WELFARE REGIMES AND POVERTY IN LOW AND MIDDLE INCOME COUNTRIES

Dear course participant,

I look forward to meeting you and together venturing into the world of politics and welfare regimes in the Global South! I hope I have put together a course programme that you will find both exciting and rewarding.

Below you will find a detailed course plan as well as the literature that we will go through. As it is an intensive course, you will benefit most if you are able to go through some of the literature beforehand.

If you have any questions please do not hesitate to contact me.

Kind regards,

Marianne S. Ulriksen

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### ***Main book***

Haggard, Stephan & Robert R. Kaufman (2008). *Development, Democracy and Welfare States: Latin America, East Asia, and Eastern Europe*, Princeton & Oxford: Princeton University Press [*Can be acquired from Politologisk Bogformidling, together with the compendium*]

***For other literature, see course plan below***

Please note: Most texts are journal articles and you can find these electronically. If a reference is marked with \* it is in the compendium, and if a reference is marked □ you can find it on AULA

## Course plan

### 1. Introduction: conceptualisation and welfare regime typologies

Tuesday 3<sup>rd</sup> of July: 9.15 – 13.00 (4 hours)

#### Objectives / focus:

- Introduction to course
- Clarification of concepts
- The "ethnocentric" welfare regime literature
- Typologies of welfare regimes in the 'North' and the 'South'
- Discussion on the (dis-)advantages of welfare regime typologies

#### Literature (141 p.):

ILO (2010). *World Social Security Report 2010/11: Providing coverage in times of crisis and beyond*.

Geneva: International Labour Office, pp. 7-9, 13-25, 27-33 (23 p.) [The entire document is available from ILO's website]

\*Esping-Andersen, Gøsta (1990). *The Three Worlds of Welfare Capitalism*, Cambridge: Polity Press, pp.18-29 (12 p.)

Abrahamson, Peter (1999). "The Welfare Modelling Business", *Social Policy & Administration*, 33(4): 394-415 (22 p.)

\*Walker & Wong (2004). "The ethnocentric construction of the welfare state", pp.116-130 in Patricia Kennett (ed.), *A Handbook of Comparative Social Policy*, Cheltenham & Northampton: Edward Elgar (15 p.)

Haggard & Kaufman (2008), pp. 3-6 & 27-38 (16 p.)

Rudra, Nita (2007). Welfare States in Developing Countries: Unique or Universal? *The Journal of Politics*, 69(2): 378-396 (19 p.)

\*Gough, Ian (2004). "Welfare regimes in development context: a global and regional analysis", Ch.1 in Gough, Ian & Geof Wood, *Insecurity and Welfare Regimes in Asia, Africa, and Latin America*, Cambridge: Cambridge University Press (34 p.)

## **2. Welfare regimes / social policies: Consequences for poverty and inequality** **Thursday of 5<sup>th</sup> of July: 9.15 – 12.00 (3 hours)**

### Objectives / focus:

- The relationship welfare regimes and poverty/inequality in the West
- The relationship between social policies and poverty in developing countries
- The impact of social policies on inequality levels

### Literature (131 p.):

Korpi, Walter & Joakim Palme (1998). "The Paradox of Redistribution and Strategies of Equality: Welfare State Institutions, Inequality, and Poverty in the Western Countries", *American Sociological Review*, 63(5): 661-687 (30 p.)

Brady, David (2005). "The Welfare State and Relative Poverty in Rich Western Democracies, 1967-1997", *Social Forces*, 83(4): 1329-1364 (36 p.)

Ulriksen, Marianne S. (2012). "Questioning the Pro-Poor Agenda: Examining the Links between Social Protection and Poverty", *Development Policy Review*, 30(3): 261-281 (21 p.)

Borzutzky, Silvia (2012). "Conditional Cash Transfers in Latin America and Anti-Poverty Policies in Chile: Why is Chile's Market Economy Unable to Reduce Poverty and Inequality?", *Poverty & Public Policy*, 4(1): Article 2 (24 p.)

de Haan, Arjan & Sukhadeo Thorat (2012). "Addressing Group Inequalities: Social Policies in Emerging Economies' Great Transformation", *European Journal of Development Research*, 24(1): 105-124 (20 p.)

## **3. Causes to welfare policy development – politics & the power resource approach** **Monday 9<sup>th</sup> of July: 9.15 – 12.00 (3 hours)**

### Objectives / focus:

- Theoretical explanations to welfare expansion and reform in the West
- Theoretical framework for explaining welfare policy development in the South
- Comparing theories – can we learn from the West? How may developing countries differ?

### Literature (133 p.):

\*Esping-Andersen, Gøsta (1990). *The Three Worlds of Welfare Capitalism*, Cambridge: Polity Press, Kap.5 (34 p.)

\*Castle, Francis G. (1998). *Comparative Public Policy: Patterns of Post-war Transformation*, Cheltenham & Northampton: Edward Elgar, pp. 300-316 (17 p.)

\*Huber, Evelyne & John D. Stephens (2001). *Development and Crisis of the Welfare State: Patterns and Policies in Global Markets*, Chicago & London: The University of Chicago Press, pp. 331-345 (15 p.)

Haggard & Kaufman (2008), pp. 38-78 & 181-207 (67 p.)

#### **4. Democracy and welfare regimes**

**Wednesday 11<sup>th</sup> of July: 9.15 – 13.00 (4 hours)**

##### Objectives / focus:

- The relationship between democracy and welfare policy development
- Results and findings in quantitative and qualitative studies
- Strength and weaknesses of different methods

##### Literatur (151 s.):

\*Stephens, John D. (2007). "Democratization and social policy development in advanced capitalist societies", Ch.2 in Yusuf Bangura (ed.), *Democracy and Social Policy*, Basingstoke: Palgrave Macmillan (29 p.)

\*Bangura, Yusuf & Carl-Johan Hedberg (2007). "Democracy and social policy development: Introduction and overview", Ch. 1 in Yusuf Bangura (ed.), *Democracy and Social Policy*, Basingstoke: Palgrave Macmillan (32 p.)

Mulligan, Casey, Ricard Gil & Xavier Sala-i-Martin (2004). "Do Democracies Have Different Public Policies than Nondemocracies?", *The Journal of Economic Perspectives*, Vol.18, No.1, pp.51-74 (24 p.)

Huber, Evelyne, Thomas Mustillo & John D Stephens (2008). "Politics and Social Spending in Latin America", *The Journal of Politics*, 70(2): 420-436 (17 p.)

Carbone, Giovanni (2011). "Democratic demands and social policies: the politics of health reform in Ghana", *Journal of Modern African Studies*, 49(3): 381-408 (28 p.)

Giunchi, Elisa (2011). "Democratic transition and social spending: the case of Pakistan in the 1990s", *Democratization*, 18(6): 1270-1290 (21 p.)

#### **5. Welfare regimes in Latin America**

**Friday 13<sup>th</sup> of July: 9.15 – 12.00 (3 hours)**

##### Objectives / focus:

- Outline of dominant explanations to welfare policy development in Latin America
- Discussion of the relationship between theory and empirical evidence
- Interregional variation and Latin American welfare regimes
- Welfare policies and social outcomes

##### Literature (127 p.):

Haggard & Kaufman (2008), chapters 2 & 7 (35p. + 43p.)

Barrientos, Armando (2009). "Labour markets and the (hyphenated) welfare regime in Latin America", *Economy and Society*, 38(1): 87-108 (23 p.)

Pribble, Jennifer (2011). "Worlds Apart: Social Policy Regimes in Latin America", *Studies in Comparative International Development*, 46: 191-216 (26 p.)

## 6. *Welfare regimes in Asia*

**Monday 16<sup>th</sup> of July: 9.15 – 12.00 (3 hours)**

### Objectives / focus:

- Outline of dominant explanations to welfare policy development in Asia
- Discussion of the relationship between theory and empirical evidence
- Interregional variation and Asian welfare regimes
- Welfare policies and social outcomes

### Literature (127 p.):

Haggard & Kaufman (2008), chapters 3 & 6 (29 p. + 41p.)

Kwon, Huck-ju (2005). "Transforming the Developmental Welfare State in East Asia", *Development and Change*, 36(3): 477-497 (21 p.)

Hort, Sven Olsson & Stein Kuhnle (2000). "The coming of East and South-East Asian welfare states", *Journal of European Social Policy*, Vol.10, No.2, pp.162-184 (24 p.)

Aspalter, Christian (2006). "The East Asian welfare model", *International Journal of Social Welfare*, 15: 290-301 (12 p.)

## 7. *Welfare in Africa*

**Wednesday 18<sup>th</sup> of July: 9.15 – 12.00 (3 hours)**

### Objectives / focus:

- The extent of social protection in Africa
- African welfare regime typologies
- Cash transfers and its consequences in Malawi
- Explaining welfare policy development in Botswana & Mauritius

### Literature (128 p.):

Devereux, Stephen & Philip White (2010). "Social Protection in Africa: Evidence, Politics, and Rights", *Poverty & Public Policy*, 2(3): Article 5 (25 p.)

Kpessa, Michael W. (2010). "Ideas, Institutions, and Welfare Program Typologies: An Analysis of Pensions and Old Age Income Protection Policies in Sub-Saharan Africa", *Poverty & Public Policy*, 2(1): Article 4 (29 p.)

Miller, Candace M. (2011). "Cash Transfers and Economic Growth: A Mixed Methods Analysis of Transfer Recipients and Business Owners in Malawi", *Poverty & Public Policy*, 3(3): Article 3 (39 p.)

□ Ulriksen, Marianne (forthcoming). "Welfare policy expansion in Botswana & Mauritius – explaining the causes of different welfare regime paths", *Comparative Political Studies*, 45(12) (35 p.)

## 8. Globalisation and welfare regimes

Friday 20<sup>th</sup> of July: 9.15 - 12.00 (3 hours)

### Objectives / focus:

- The relationship between globalisation and social policies – theoretical arguments
- The relationship between globalisation and social policies – empirical findings
- Quantitative and qualitative studies – comparing the evidence
- Exam and evaluation

### Literature (110 p.):

\*Hill, Michael (2006). *Social Policy in the Modern World: A Comparative Text*, Oxford: Blackwell Publishing, 259-281 (23 p.)

Pierson, Paul (2002). "Retrenchment and restructuring in an age of austerity: what (if anything) can be learned from the affluent democracies?", *Cad. Saúde Pública*, 18 (Suplemento): 7-11 (5 p.)

Brady, David, Martin Seeleib-Kaiser, Jason Beckfield (2005). "Economic Globalization and the Welfare State in Affluent Democracies, 1975-2001", *American Sociological Review*, 70(6): 921-948 (28 p.)

Rudra, Nita & Stephan Haggard (2005). "Globalisation, Democracy, and Effective Social Spending in the Developing World", *Comparative Political Studies*, Vol.38, No.9, pp.1-35 (35 p.)

Ulriksen, Marianne S. (2011). "Social policy development and global financial crisis in the open developing countries of Botswana and Mauritius", *Global Social Policy*, 11(2-3): 194-213 (19 p.)

## 8. Ethnicity and welfare regimes

Monday 23<sup>rd</sup> of July: 9.15 - 13.00 (4 hours)

### Objectives / focus:

- The relationship between ethnicity and social policies – theoretical arguments
- The relationship between ethnicity and social policies – empirical findings
- Quantitative and qualitative studies – comparing the evidence

### Literature (154 p.):

Alesina, Alberto, Arnaud Devleschawuer, William Easterly, Sergio Kurlat & Romain Wacziarg (2003), "Fractionalization", *Journal of Economic Growth*, 8: 155-194 (40 p.)

□ Jensen, Carsten & Svend-Erik Skaaning (forthcoming). "Democracy, social fractionalization and the politics of social spending" *Quarterly Journal of Political Science* (35 p.)

Miguel, Edward & Mary Kay Gugerty (2005). "Ethnic diversity, social sanctions and public goods in Kenya", *Journal of Public Economics*, 89: 2325-2368 (44 p.)

Singh, Prerna (2010). "We-ness and Welfare: A Longitudinal Analysis of Social Development in Kerala, India", *World Development*, 39(2): 282-293 (12 p.)

□ Ulriksen, Marianne S. (2012). "Ethnicity and social policy development revisited: Examining Mauritius and South Africa beyond the regressions", Conference paper (23 p.)

Welfare Regimes and Poverty in Developing Countries. Conclusion. References. Welfare Regime Typologies and Poverty. Welfare state scholarship has explored various factors in order to understand the different responses of welfare states to these challenges. The biggest beneficiaries of this social democratic production regime are low-skilled or unskilled workers and the unemployed at the bottom of the social hierarchy. Therefore, the size of the population under the (either relative or absolute) poverty threshold is minimal in these countries, not only due to low wage differences based on well-configured public education and training system but also due to generous social transfers coupled with training programs. African Department. Monetization in Low- and Middle-Income Countries<sup>1</sup>. Prepared by Cameron McLoughlin<sup>2</sup> and Noriaki Kinoshita<sup>3</sup>. Authorized for distribution by Paul Mathieu. It is argued that a move from a regime of financial repression (characterized by negative real returns on financial assets) to one of liberalization would be the necessary condition to mobilize savings (McKinnon, 1973, Shaw, 1973). More recently, the emphasis has shifted to institutional and legal aspects as fundamental factors for financial sector development (Tresselt and Detragiache, 2008). Combating Poverty and Inequality is an important contribution to efforts to systematically explain how poverty reduction depends crucially on the interconnections among economic development, social policy and politics. The report reveals how poverty and inequality cannot be addressed by narrow approaches to social protection, or faith in the by-now-discredited notion that the benefits of economic growth will sufficiently trickle down to the poor. This volume provides a timely reminder of the strengths and limitations of various approaches to addressing poverty in the current context. It is the culmination of an ambitious project, Poverty Reduction and Policy Regimes, initiated with characteristic foresight by my predecessor as Director of UNRISD, Thandika Mkandawire.